

Part 2B of Form ADV: Brochure Supplement

Saxon Knight (CRD # 5502472) Nicholas Gordon (CRD # 6072499)

SKG Capital Advisors, LLC

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Telephone: (505) 856-5444 Web Address: www.skgadvisors.com

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This brochure supplement provides information about the above individuals that supplements the SKG Capital Advisors brochure. You should have received a copy of that brochure. Please contact SKG Capital Advisors if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about these individuals are available on the SEC's website at www.adviserinfo.sec.gov.

Name: Saxon Thomas Knight Year of Birth: 1985

Education:

• University of New Mexico, Albuquerque, NM, Bachelor in Finance (2007)

Business Background:

- SKG Capital Advisors, Austin, TX, Financial Adviser, Jan 2017 Present.
- SSN Advisory Inc., Knoxville, TN, Investment Adviser Representative, Sep 2011 May 2017.
- Securities Service Network, Knoxville, TN, Registered Representative, Sep 2011 May 2017.
- MassMutual/ MML Investor Services, LLC, Springfield, MA, Registered Rep, Mar 2010– Sep 2011.
- Charles Schwab, San Francisco, CA, Registered Rep, Mar 2008 July 2009.

Previous Securities Examinations:

- FINRA Series 7 General Securities Representative Qualification Examination (2008)
- FINRA Series 24 General Securities Principal Qualification Examination (2011)
- NASAA Series 63 Uniform Securities Agent State Law Examination (2008)
- NASAA Series 65 Uniform Investment Adviser Law Examination (2010)

Professional Designations:

- Chartered Financial Analyst (CFA) (2017)
 - The Chartered Financial Analyst (CFA) charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charterholders must have at least four years of acceptable professional experience in the investment decisionmaking process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.
- Certified Financial PlannersTM (CFP®) (2011)
 The CERTIFIED FINANCIAL PLANNERTM, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a twoday period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals. Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:
- Continuing Education Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

Item 3. Disciplinary Information

Mr. Knight does not have any history of disciplinary events.

Item 4. Other Business Activities

A. Investment-Related Activities

Mr. Knight is also engaged in the following investment-related activities:

1. Mr. Knight is an insurance agent/broker with various insurance companies. As an insurance agent/broker, Mr. Knight can receive customary commissions, bonuses or other

compensation for implementing the purchase or sale of securities, insurance or other investment products for SKG Capital Advisors. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he and/or our supervised persons may earn.

Clients are under no obligation to act upon any recommendations of Mr. Knight or to effect any transactions through him if they decide to follow the recommendations. Mr. Knight does not limit his recommendations to products or services offered by the broker-dealer / insurance companies and ensures that all recommendations are appropriate for a client's specific needs. Clients have the option to purchase investment products recommended through other broker-dealers / insurance companies not affiliated with SKG Capital Advisors.

Clients should be aware that the receipt of additional compensation by SKG Capital Advisors and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations. SKG Capital Advisors endeavors at all times to put the interest of its clients first as part of our fiduciary duty as a registered investment adviser; we take the following steps to address this conflict:

- we disclose to clients the existence of all material conflicts of interest, including the potential for our firm and our employees to earn compensation from advisory clients in addition to our firm's advisory fees;
- we disclose to clients that they are not obligated to purchase recommended investment products from our employees or affiliated companies;
- we collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
- our firm's management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
- we require that our employees seek prior approval of any outside employment activity so that we may ensure that any conflicts of interests in such activities are properly addressed;
- we periodically monitor these outside employment activities to verify that any conflicts of interest continue to be properly addressed by our firm; and
- we educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients.

B. Non Investment-Related Activities

Mr. Knight does not engage in any non-investment-related business or occupation.

Item 5. Additional Compensation

Mr. Knight does not receive any additional compensation from third parties for providing investment advice to its clients and does not compensate anyone for client referrals.

Item 6. Supervision

Nicholas Gordon, Chief Compliance Officer, is responsible for all supervision of Mr. Knight and formulation and monitoring of investment advice offered to Mr. Knight's clients. He can be

reached at (505) 856-5444. Mr. Gordon reviews employee personal securities transactions on a quarterly basis, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.

Name: Nicholas McLeod Gordon Year of Birth: 1989

Education:

• University of New Mexico, Albuquerque, NM, Master of Business Administration (2013)

• University of New Mexico, Albuquerque, NM, Bachelor of Business Administration (2011)

Business Background:

- SKG Capital Advisors, Austin, TX, Financial Adviser, November 2017 Present.
- National Planning Corporation, Albuquerque, NM, Financial Adviser, may 2012 November 2017

Previous Securities Examinations:

- FINRA Series 7 General Securities Representative Qualification Examination (2012)
- NASAA Series 66 Uniform Combined State Law Examination (2012)

<u>Professional Designations:</u>

- Accredited Investment Fiduciary® (AIF®) (2014)
 - The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.
- Certified Financial PlannersTM (CFP®) (2015)
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Item 3. Disciplinary Information

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Item 4. Other Business Activities

A. Investment-Related Activities

Mr. Gordon is also engaged in the following investment-related activities:

2. Mr. Gordon is an insurance agent/broker with various insurance companies. As an insurance agent/broker, Mr. Gordon can receive customary commissions, bonuses or other compensation for implementing the purchase or sale of securities, insurance or other investment products for SKG Capital Advisors. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he and/or our supervised persons may earn.

Clients are under no obligation to act upon any recommendations of Mr. Gordon or to effect any transactions through him if they decide to follow the recommendations. Mr. Gordon does not limit his recommendations to products or services offered by the broker-dealer / insurance companies and ensures that all recommendations are appropriate for a client's specific needs. Clients have the option to purchase investment products recommended through other broker-dealers / insurance companies not affiliated with SKG Capital Advisors.

Clients should be aware that the receipt of additional compensation by SKG Capital Advisors and its management persons or employees creates a conflict of interest that may impair the objectivity of our firm and these individuals when making advisory recommendations. SKG Capital Advisors endeavors at all times to put the interest of its clients first as part of our fiduciary duty as a registered investment adviser; we take the following steps to address this conflict:

- we disclose to clients the existence of all material conflicts of interest, including the potential for our firm and our employees to earn compensation from advisory clients in addition to our firm's advisory fees;
- we disclose to clients that they are not obligated to purchase recommended investment products from our employees or affiliated companies;
- we collect, maintain and document accurate, complete and relevant client background information, including the client's financial goals, objectives and risk tolerance;
- our firm's management conducts regular reviews of each client account to verify that all recommendations made to a client are suitable to the client's needs and circumstances;
- we require that our employees seek prior approval of any outside employment activity so that we may ensure that any conflicts of interests in such activities are properly addressed;
- we periodically monitor these outside employment activities to verify that any conflicts of interest continue to be properly addressed by our firm; and
- we educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to clients.

B. Non Investment-Related Activities

Mr. Gordon does not engage in any non-investment-related business or occupation.

Item 5. Additional Compensation

Mr. Gordon does not receive any additional compensation from third parties for providing investment advice to its clients and does not compensate anyone for client referrals.

Item 6. Supervision

Saxon Knight, President, is responsible for all supervision of Mr. Gordon and formulation and monitoring of investment advice offered to Mr. Gordon's clients. He can be reached at (505) 856-5444. Mr. Knight reviews Mr. Gordon's personal securities transactions on a quarterly basis, oversees all material investment policy changes for Mr. Gordon's clients, and conducts periodic testing to ensure that client objectives and mandates are being met.